RAD BULLET

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL</b>	
FORM	

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/616,766				
Filing Date	July 9, 2003				
First Named Inventor	Roger O. WILLIAMS				
Art Unit	Not Yet Assigned				
Examiner Name	Not Yet Assigned				
Attorney Docket Number	514542000105				

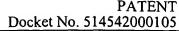
		Z refs.	——————————————————————————————————————			
ENCLOSURES (check all that apply)						
Fee Trans	mittal Form	Drawing(s)	After Allowance Communication to Group  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
Fee	Attached	Licensing-related Papers				
Amendme	nt/Reply	Petition				
After	· Final	Petition to Convert to a Provisional Application	Proprietary Information			
Affid	avits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter			
Extension	of Time Request	Terminal Disclaimer	X Other Enclosure(s) (please identify below):			
Express Abandonment Request  X Information Disclosure Statement (Supplemental) (3 pages)  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53		Request for Refund  CD, Number of CD(s)  Remarks	Form PTO-1449,     submitted in duplicate (2 pages)     Two (2) references     Return postcard			
	SIGNA	TURE OF APPLICANT, ATTORNEY, OR A	GENT			
Firm or Individual name	or Hugh H. Matsuhayashi - 43 770					
Signature	Signature 1 Hyll Mark ag a					
Date	Date August 21, 2003					

I hereby certify that this con	respondence is being deposited	d with the U.S. Postal	Service with sufficient posta	ge as First Class Mail, in
an envelope addressed to:	Commissioner for Patents, P.C	D. Box 1450, Alexand	ria, VA 22313-1450, on the	date shown below.
	( A ====		A	

Dated: August 21, 2003

Signature

\_ (Julie M. Hassell)





## CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 21, 2003.

Julie M. Hassell

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Roger O. WILLIAMS, et al.

Serial No.: 10/616,766

Filing Date: July 9, 2003

For: ACOUSTICALLY MEDIATED FLUID

TRANSFER METHODS AND USES

THEREOF

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Pursuant to the USPTO notice dated July 11, 2003, waiving the requirement under 37 C.F.R. §1.98 (a)(2)(i) to provide copies of U.S. Patents and U.S. Published Applications, copies of those references numbered 1 through 3 are not submitted. Copies of foreign patent documents are submitted herewith in accordance with 37 C.F.R. § 1.98 (a)(2). The Examiner is requested to make these documents of record.

	11118 30	applemental information disclosure Statement is submitted:				
	With the application; accordingly, no fee or separate requirements are required.					
	Befor	e the mailing of a first Office Action after the filing of a Request for Continued				
	Exam	ination under § 1.114.				
$\boxtimes$	Withi	n three months of the application filing date or before mailing of a first Office				
	Actio	n on the merits; accordingly, no fee or separate requirements are required.				
	After	receipt of a first Office Action on the merits but before mailing of a final Office				
	Actio	n or Notice of Allowance.				
		A fee is required. A check in the amount of is enclosed.				
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached				
•		to this submission in duplicate.				
		A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee				
		is believed to be due.				
	After	mailing of a final Office Action or Notice of Allowance, but before payment of the				
	issue	fee.				
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the				
		amount of is enclosed.				
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal				
		form (PTO/SB/17 is attached to this submission in duplicate.)				

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 514542000105. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: August 21, 2003

Respectfully submitted,

maga Registration No. 43,779

Morrison & Foerster LLP 755 Page Mill Road

Palo Alto, California 94304-1018

Telephone: (650) 813-5632 Facsimile: (650) 494-0792

							<del></del>		et 1 of 1
Form PTO-1449				Docket Number 514542000105 Application Number 10/616,766					
PHERMATION DISCLOSURE CITATION ON AN APPLICATION				Applicant  Roger O. WILLIAMS, et al.					
Vse several sheets if necessary)			Filing Date July 9, 2003		Group Art Unit Not Yet Assigned		ned		
NIS 25 7000 We several sheets if necessary)			Mailing Date August 21, 2003						
AUG	eto		<del></del>		<del></del>		<del></del>		
TRADE	MAL								
		· · · · · · · · · · · · · · · · · · ·	r <del></del>	ΓEN	T DOCUMENTS	; —————			
Examiner Initials	Ref. No.	Date	Document No.		Name	Class	Subclass	Filing D Approp	
	1.	08/25/1998	5,798,774	Oka	ıda et al.				
	2.	10/27/1998	5,828,388	Cle	ary et al.				
	3.	09/12/2000	6,116,718	Pee	ters et al.				
									i
			FOREIGN I	PAT]	ENT DOCUMEN	ITS			i
Examiner Initials	Ref. No.	Date	Document No.		Country	Class	Subclass	Transla YES	ntion NO
	4.	06/18/1976	FR 2 291 800	Fra	nce			Abstract	
	5.	03/04/1993	DE 41 28 590	Ger	many		<del> </del>	Abstract	
			OTHE	R D	OCUMENTS	(includii	ng author, title, Do	ite, Pertinent Po	iges, Etc.)
Examiner Ref. Title				<del></del>	<del></del>				
Initials No.									
}									
									ļ
! !									i
EXAMINER:			DATE CONS	SIDERED:	<del></del>				
EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.									